



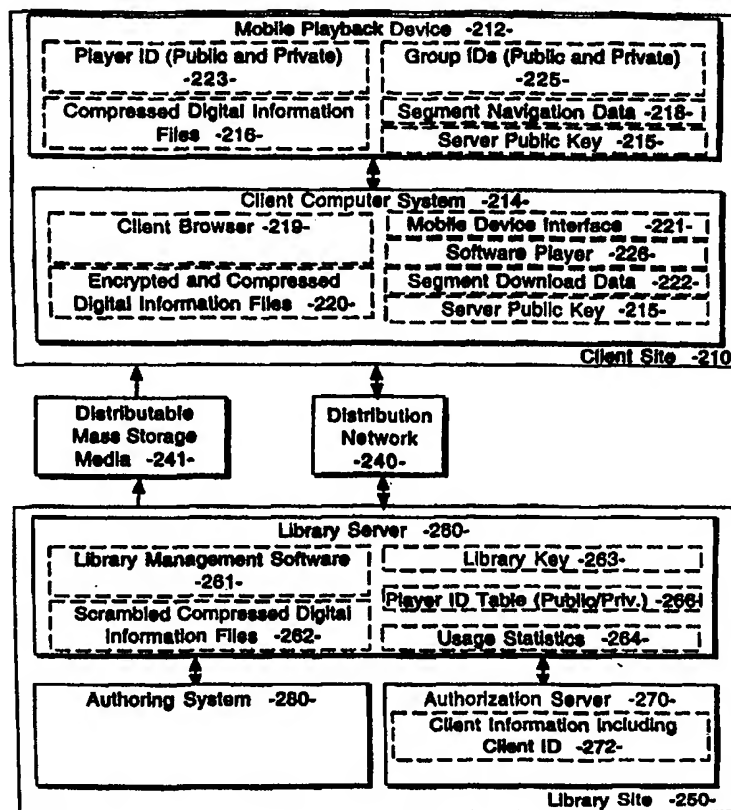
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>G06F 11/00</b>		<b>A1</b>	(11) International Publication Number: <b>WO 99/18506</b>
			(43) International Publication Date: 15 April 1999 (15.04.99)
(21) International Application Number: PCT/US98/20659 (22) International Filing Date: 2 October 1998 (02.10.98) (30) Priority Data: 08/943,582 3 October 1997 (03.10.97) US (71) Applicant: AUDIBLE, INC. [US/US]; 65 Willowbrook Boulevard, Wayne, NJ 07470 (US). (72) Inventors: MOTT, Timothy; 110 Old Mil Road, P.O. Box 6289, Ketchum, ID 83340 (US). STORY, Guy; 151 Spring Street, New York, NY 10012 (US). JUN, Benjamin, Che-Ming; 1081-B Tanland Drive, Palo Alto, CA 94303 (US). PAI, Samuel, Hong-Yen; 3306 Piragua Street, Carlsbad, CA 92009 (US). KOCHER, Paul; 143 Fillmore Street, San Francisco, CA 94117 (US). (74) Agents: SALTER, James, H. et al.; Blakely, Sokoloff, Taylor & Zafman LLP, 7th floor, 12400 Wilshire Boulevard, Los Angeles, CA 90025 (US).		(81) Designated States: AL, AM, AT, AT (Utility model), AU (Petty patent), AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), EE, EE (Utility model), ES, FI, FI (Utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	

(54) Title: METHOD AND APPARATUS FOR TARGETING A DIGITAL INFORMATION PLAYBACK DEVICE

## (57) Abstract

A method, apparatus and article of manufacture for targeting a digital information playback device (212). A device ID (223) and/or a group ID (225) is embedded in the playback device. A device ID or a group ID is also embedded in a digital information file (216). Upon receiving the digital information file, the device ID or the group ID of the playback device is compared to that contained in the digital information file. The digital information file is then played if either the device ID or the group ID of the digital information file matches that of the playback device.



***FOR THE PURPOSES OF INFORMATION ONLY***

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

<b>AL</b>	Albania	<b>ES</b>	Spain	<b>LS</b>	Lesotho	<b>SI</b>	Slovenia
<b>AM</b>	Armenia	<b>FI</b>	Finland	<b>LT</b>	Lithuania	<b>SK</b>	Slovakia
<b>AT</b>	Austria	<b>FR</b>	France	<b>LU</b>	Luxembourg	<b>SN</b>	Senegal
<b>AU</b>	Australia	<b>GA</b>	Gabon	<b>LV</b>	Latvia	<b>SZ</b>	Swaziland
<b>AZ</b>	Azerbaijan	<b>GB</b>	United Kingdom	<b>MC</b>	Monaco	<b>TD</b>	Chad
<b>BA</b>	Bosnia and Herzegovina	<b>GE</b>	Georgia	<b>MD</b>	Republic of Moldova	<b>TG</b>	Togo
<b>BB</b>	Barbados	<b>GH</b>	Ghana	<b>MG</b>	Madagascar	<b>TJ</b>	Tajikistan
<b>BE</b>	Belgium	<b>GN</b>	Guinea	<b>MK</b>	The former Yugoslav Republic of Macedonia	<b>TM</b>	Turkmenistan
<b>BF</b>	Burkina Faso	<b>GR</b>	Greece			<b>TR</b>	Turkey
<b>BG</b>	Bulgaria	<b>HU</b>	Hungary	<b>ML</b>	Mali	<b>TT</b>	Trinidad and Tobago
<b>BJ</b>	Benin	<b>IE</b>	Ireland	<b>MN</b>	Mongolia	<b>UA</b>	Ukraine
<b>BR</b>	Brazil	<b>IL</b>	Israel	<b>MR</b>	Mauritania	<b>UG</b>	Uganda
<b>BY</b>	Belarus	<b>IS</b>	Iceland	<b>MW</b>	Malawi	<b>US</b>	United States of America
<b>CA</b>	Canada	<b>IT</b>	Italy	<b>MX</b>	Mexico	<b>UZ</b>	Uzbekistan
<b>CF</b>	Central African Republic	<b>JP</b>	Japan	<b>NE</b>	Niger	<b>VN</b>	Viet Nam
<b>CG</b>	Congo	<b>KE</b>	Kenya	<b>NL</b>	Netherlands	<b>YU</b>	Yugoslavia
<b>CH</b>	Switzerland	<b>KG</b>	Kyrgyzstan	<b>NO</b>	Norway	<b>ZW</b>	Zimbabwe
<b>CI</b>	Côte d'Ivoire	<b>KP</b>	Democratic People's Republic of Korea	<b>NZ</b>	New Zealand		
<b>CM</b>	Cameroon	<b>KR</b>	Republic of Korea	<b>PL</b>	Poland		
<b>CN</b>	China	<b>KZ</b>	Kazakstan	<b>PT</b>	Portugal		
<b>CU</b>	Cuba	<b>LC</b>	Saint Lucia	<b>RO</b>	Romania		
<b>CZ</b>	Czech Republic	<b>LI</b>	Liechtenstein	<b>RU</b>	Russian Federation		
<b>DE</b>	Germany	<b>LK</b>	Sri Lanka	<b>SD</b>	Sudan		
<b>DK</b>	Denmark	<b>LR</b>	Liberia	<b>SE</b>	Sweden		
<b>EE</b>	Estonia			<b>SG</b>	Singapore		

## METHOD AND APPARATUS FOR TARGETING A DIGITAL INFORMATION PLAYBACK DEVICE

### FIELD OF THE INVENTION

5       The present invention relates generally to a digital information transmission, receiving, and playback system, and more specifically, to a method and apparatus for targeting a digital information playback device.

### BACKGROUND OF THE INVENTION

10       Recent technological advances in the compression of digital data and the expansion of storage capacities of computer systems together with the increased bandwidth of computer network infrastructures have created new possibilities for personalized access to and usage of large amounts of digital information. One form of this type of digital information is audio  
15       information delivered across a computer network as digitized information.

      In the field of interactive digital information transmission, receiving, and playback systems, several patents are known to the present applicants. U.S. Patent No. 5,132,992, issued July 21, 1992 to Yurt et al. (Yurt), describes a system of distributing video and/or audio information employing digital  
20       signal processing to achieve high rates of data compression. The Yurt patent describes a transmission system including a conversion means for placing the items from a source material library into a predetermined format as formatted data. Audio data is compressed by an audio compressor by application of an adaptive differential pulse code modulation (ADPCM)  
25       process to the audio data. Stored items are accessed in the compressed data library through the use of a unique address code assigned to each item during storage encoding. The unique address code is used for requesting and

accessing information and items throughout the Yurt transmission and receiving process. The Yurt transmission system includes means by which a user enters a customer identifier (ID) code by which the system accesses the users account, and indicates to the system that the user is a subscriber of the system. If a subscriber is in good standing, the Yurt system delivers selected titles using the described techniques.

One significant problem with the audio transmission and receiving system described in Yurt is the lack of an effective means for ensuring the security of the digital information library and of the items downloaded to a user from the digital information library. Although Yurt describes the use of a unique identification code assigned to items in the library and a customer ID code assigned to particular users, no authentication protocols or encryption techniques are described to prevent the unauthorized creation of clone libraries or the unauthorized download or copying of library items. Secondly, Yurt and related prior art does not describe an authentication or encryption means providing secure transactions between a server based digital information library supporting a client computer system having an interface to a mobile playback device. Thirdly, the prior art does not describe a mechanism for selecting a digital information passage to be previewed. Prior art systems also do not describe a system whereby only part of a program gets downloaded from a client computer system to a mobile playback device depending on how much storage space is available in the mobile playback device. Prior art systems also do not describe a mechanism for specifying multiple programs to be downloaded from a digital information library into a mobile playback device. Prior art systems also do not detail the processes required in the authoring system to generate content for the digital information library. Finally, prior art systems do not describe

an accounting system whereby library content providers can perform real-time queries on usage information related to the access of library items.

## SUMMARY OF THE INVENTION

The present invention provides a method, apparatus, and article of manufacture for targeting a digital information playback device. A device ID and or a group ID is embedded in the playback device. A device ID or a group ID is also embedded in a digital information file. Upon receiving the digital information file, the device ID or the group ID of the playback device is compared to that contained in the digital information file. The digital information file is then played if either the device ID or the group ID of the digital information file matches that of the playback device.

10

## BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is illustrated by way of example and not limitation in the figures of the accompanying drawings, in which like references indicate similar elements and in which:

15       FIG. 1 illustrates a typical computer platform compatible with the present invention;

      FIG. 2 illustrates a high level block diagram of the computer network based digital information library system compatible with the present invention;

20       FIG. 3 illustrates a high level block diagram of the authoring system compatible with the present invention;

      FIG. 4 illustrates an alternative embodiment having a plurality of library servers;

25       FIG. 5 illustrates an alternative embodiment having a plurality of library server processes;

FIG. 6 illustrates an alternative embodiment having a single  
authoring/authorization server;

FIG. 7 illustrates an alternative embodiment wherein client computer  
systems have a local library;

5        FIG. 8 illustrates an alternative embodiment wherein mobile playback  
devices have a direct network interface in lieu of a client computer system;

FIG. 9 illustrates an alternative embodiment wherein a kiosk is used  
to retain and distribute selected programming;

10       FIG. 10 illustrates an alternative embodiment wherein all system  
components are connected through a common network;

FIG. 11 illustrates a flowchart of a security scheme using the digital  
signature standard (DSS) compatible with the present invention;

15       FIG. 12 illustrates a flowchart of a player security scheme using a  
digital signature authentication (DSA) compatible with the present  
invention.

## DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT OF THE PRESENT INVENTION

The preferred embodiment of the present invention is a computer network based digital information library system employing authentication, targeting, and encryption protocols for the secure transfer of digital information library programs to a client computer system and a mobile digital information playback device removably connectable to the client computer system. In the following detailed description, numerous specific details are set forth in order to provide a thorough understanding of the present invention. However, it will be apparent to one of ordinary skill in the art that these specific details need not be used to practice the present invention. In other instances, well known structures, interfaces, and processes have not been shown in detail in order not to unnecessarily obscure the present invention.

FIG. 1 illustrates a typical data processing system upon which one embodiment of the present invention is implemented. It will be apparent to those of ordinary skill in the art, however that other alternative systems of various system architectures may also be used. The data processing system illustrated in FIG. 1 includes a bus or other internal communication means 101 for communicating information, and a processor 102 coupled to the bus 101 for processing information. The system further comprises a random access memory (RAM) or other volatile storage device 104 (referred to as main memory), coupled to bus 101 for storing information and instructions to be executed by processor 102. Main memory 104 also may be used for storing temporary variables or other intermediate information during execution of instructions by processor 102. The system also comprises a read only memory (ROM) and/or static storage device 106 coupled to bus 101 for



storing static information and instructions for processor 102, and a mass storage device 107 such as a magnetic disk drive or optical disk drive. Mass storage device 107 is coupled to bus 101 and is typically used with a computer readable mass storage medium 108, such as a magnetic or optical disk, for storage of information and instructions. The system may further be coupled to a display device 121, such as a cathode ray tube (CRT) or a liquid crystal display (LCD) coupled to bus 101 through bus 103 for displaying information to a computer user. An alphanumeric input device 122, including alphanumeric and other keys, may also be coupled to bus 101 through bus 103 for communicating information and command selections to processor 102. An additional user input device is cursor control 123, such as a mouse, a trackball, stylus, or cursor direction keys coupled to bus 101 through bus 103 for communicating direction information and command selections to processor 102, and for controlling cursor movement on display device 121. Another device which may optionally be coupled to bus 101 through bus 103 is a hard copy device 124 which may be used for printing instructions, data, or other information on a medium such as paper, film, or similar types of media. In the preferred embodiment, a communication device 125 is coupled to bus 101 through bus 103 for use in accessing other nodes of a network computer system or other computer peripherals. This communication device 125 may include any of a number of commercially available networking peripheral devices such as those used for coupling to an Ethernet, token ring, Internet, or wide area network. It may also include any number of commercially available peripheral devices designed to communicate with remote computer peripherals such as scanners, terminals, specialized printers, or audio input/output devices. Communication device 125 may also include an RS232 or other

conventional serial port, a conventional parallel port, a small computer system interface (SCSI) port or other data communication means.

Communications device 125 may use a wireless means of data transfer devices such as the infrared IRDA protocol, spread-spectrum, or wireless

5 LAN. In addition, communication device 125 is used in the preferred embodiment to couple the mobile playback device 212 to the client computer system 214 as described in more detail below. One other device used in the preferred embodiment is sound circuitry 130 either with attached speakers or headphones 132, or with analog audio outputs suitable for input into audio  
10 reproduction equipment such as external amplifiers and speakers, cassette adapters, etc. Sound circuitry 130 is well known in the art for playing audio files. Alternatively, sound circuitry may be a radio transmitter which transmits audio data on a predefined frequency for reception and playback by a radio receiver. Other wireless methods are possible.

15 Note that any or all of the components of the system illustrated in FIG. 1 and associated hardware may be used in various embodiments of the present invention; however, it will be appreciated by those of ordinary skill in the art that any configuration of the system may be used for various purposes according to the particular implementation. In one embodiment of  
20 the present invention, the data processing system illustrated in FIG. 1 is an IBM® compatible personal computer (PC), an Apple MacIntosh® personal computer, or a SUN® SPARC Workstation. Processor 102 may be one of the 80X86 compatible microprocessors such as the 80486 or PENTIUM® brand microprocessors manufactured by INTEL® Corporation of Santa Clara,  
25 California.

The software implementing the present invention can be stored in main memory 104, mass storage device 107, or other storage medium

accessible to processor 102. It will be apparent to those of ordinary skill in the art that the methods and processes described herein can be implemented as software stored in main memory 104 or read only memory 106 and executed by processor 102. This software may also be resident on an article of

5 manufacture comprising a computer usable mass storage medium 108 having computer readable program code embodied therein and being readable by the mass storage device 107 and for causing the processor 102 to perform digital information library transactions and protocols in accordance with the teachings herein.

10

#### Digital Information Library System

FIG. 2 illustrates the computer network architecture used in the preferred embodiment of the present invention. In general, the network architecture of the present invention includes a library site 250 coupled to a

15 client site 210 via a conventional distribution network infrastructure 240. This conventional distribution network infrastructure 240 can be implemented as a standard telephone connection provided between the library site 250 and client site 210 through an Internet provider to enable data communication on the Internet over a conventional telephone network.

20 This use of the Internet as a distribution network is well known to those of ordinary skill in the art. In an alternative embodiment having cable modem capability, communication over a conventional cable network is possible in lieu of communication over the telephone network. The cable network is typically much faster (i.e. provides a much greater bandwidth) than the

25 standard telephone network; however, cable modems are typically more expensive than standard POTS (plain old telephone system) modems. In another alternative embodiment having conventional Integrated Services

Digital Network (ISDN) capability, the distribution network 240 is accessed using an ISDN modem. Again, the ISDN network is typically faster than the POTS network; however, access to an ISDN network is generally more expensive. Cable modems and ISDN implementations are alternative  
5 communications media to the POTS implementation.

In addition, it will be apparent to those of ordinary skill in the art that other forms of networking may equivalently be supported by the present invention. For example, a wireless transmission means such as infrared or radio links may also provide the distribution network 240 described in the  
10 present application. As an alternative to the Internet, a proprietary network/bulletin board such as AMERICA-ON-LINE (AOL), or COMPUSERVE may be used.

Each of the servers at library site 250 and the client computer system 214 at client site 210 can be implemented as a computer system such as the  
15 one described above in connection with FIG. 1. It will be apparent to one of ordinary skill in the art that the library server 260, authoring system 280, and authorization server 270 can be remotely located yet networked together as a distributed system using the techniques described above. In addition, the present invention allows for multiple library servers, authoring systems and  
20 authorization servers. Conversely, the servers may be implemented as separate functions of a single machine. These alternative embodiments are illustrated in FIG. 4-8 and are described in more detail below.

The mobile playback device 212 is a minimally configured, low-cost, standalone mobile unit for receiving and storing digital information files or  
25 programs as downloaded by library server 260 and client computer system 214 and for playing back the digital information files or programs for a user of the mobile playback device 212. The mobile playback device 212 is

temporarily removably coupled to the client computer system 214 while the download takes place. Once downloaded, the mobile playback device 212 may be detached from the client computer system 214 and used as a standalone digital information playback device. A co-pending U.S. Patent Application  
5 titled, "Interactive Audio Transmission, Receiving and Playback System", assigned Serial No. 08/490,537, and assigned to the Audible Words Corporation of Montclair, NJ describes the details of mobile playback device 212.

In its basic form, the preferred embodiment of the present invention is  
10 a digital information library system providing selection of digital information programming on demand over a computer network. In an alternative embodiment, the digital information programming is selected via the computer network but delivered using mass storage media 241. This alternative embodiment is described in more detail below.

15 The digital information library is an indexed collection of digital information programming, drawing content from digital information sources such as books, daily news and entertainment feeds, conferences and educational sources, other computer systems, the host on the World Wide Web (WWW) of the Internet, and customized audio or visual image  
20 programming. Other sources of the digital information content include, but are not limited to, conference or seminar proceedings, lecture or speech materials, language lessons, readings, comedy, customized spoken digests and related, "need-to-know" business information, computer software, local sound studio material, text to speech conversion of machine readable files,  
25 pre-recorded material from magnetic tape, CD-ROM, digital audio tape, or analog cassette tape. This digital information content is input as raw digital information content to authoring system 280 shown in FIG. 2. In an

alternative embodiment, a raw digital information digitizer 307 is included for receiving raw input and converting the input to a digital form which can be manipulated as a digital information file.

5 In an alternative embodiment, the digital information comprises digitized image or graphics data used to produce visual images on a display screen or projection screen. These images may be included in the digital information retained and maintained by the library server 260.

### Authoring System

10 Authoring system 280 is used to edit, index, compress, scramble, segment, and catalog digital information content into digital information programs in digital information files, which are stored on mass storage media 241 or on library server 260 as scrambled and compressed digital information files 262. The digital information programs are initially  
15 categorized according to traditional criteria (e.g. genre, modern fiction, mystery, adventure, romance, non-fiction, classics, self-help, science fiction, westerns, etc.). Categories associated with specific authors or publishers are also provided. Both unabridged and abridged titles are provided. In some circumstances, it may be necessary to digitize digital information content  
20 from an undigitized form. The raw information digitizer 307 is provided for this purpose. Authoring system 280 also partitions digital information content into segments, which can be identified, searched, and skipped over if desired. All of these functions are performed by authoring system 280.

FIG. 3 illustrates the authoring system 280 of the preferred  
25 embodiment. Authoring system 280 receives digital information content from a variety of conventional sources as raw digitized data. This digital information data is fed to three components of the authoring system 280 of

the preferred embodiment. The digital information compressor 314 receives the raw digital data and compresses the digitized data. There are a variety of conventional techniques in existence for compressing digital data. These techniques can be optimized depending upon the type of digital data being  
5 processed. Thus, the present invention provides several compression methods and a means for the authoring system operator 305 to select between these methods based upon the category of digital information content 310 being input to the digital information compressor 314. Alternatively, the selection of compression method may be performed  
10 automatically by interpretation of the digital information content 310 itself. A compressed digital information file is output by digital information compressor 314 to scrambler 318.

The raw digital information content 310 is also fed to template header generator 312. Each digital information file maintained by the library server  
15 260 includes other descriptive information used to identify the file's content and to provide information used to process the digital information within the file. Each digital information file includes a template header, a descrambling map, selected preview clips, and the digital information programming itself. In the preferred embodiment, the template header  
20 comprises a number of attributes corresponding to the digital information in the file. For example, the digital information may be audio information generated from the content of a book or other published work. In this example, the audio file template header contains attributes including: 1) the title of a book, volume, or medium from which the digital information  
25 content originated, 2) the legal copyright associated with the digital information content, 3) audible title(s) of the content, 4) a table of contents of the content, and 5) playback settings for appropriately playing or rendering

the digital information. The table of contents contains content navigation information including but not limited to: the number of chapters, the length of the program, and information indicative of the relevant content sections. The table of contents is generated with input from authoring system operator 5 305 or automatically by analysis of digital information content 310. The descrambling map 322 is used to interpret the digital information after the digital information has been scrambled by scrambler 318 as described below. The preview clips 324 comprise short pre-generated portions of digital information content used to give a consumer a sense of the content of a 10 particular digital information file. In the preferred embodiment, these previews are generated as conventional formatted files which can be directly played by sound generation circuitry 130 or rendered by other means. A digital information file can have several preview clips associated with it. The preview clips 324 are not compressed or scrambled in the preferred 15 embodiment. The template header 312 remains with the digital information file as it is transferred to the network 240 or mass storage media 241. The other descriptive information related to a digital information file is typically stored with digital information file, but is not required to be so stored.

Referring again to FIG. 3, template header generator 312 generates the 20 template header given information from a particular portion of digital information content 310. Input from Authoring System Operator 305 and Digital Information Compressor 314 may be solicited during the header generation process. The template header is provided to library server 260. Other portions of the digital information file header are provided by 25 scrambler 318 and preview generator 323. These portions of the digital information file header are assembled into the header for a particular digital information file by library server 260. The remainder of the digital



information file is filled with compressed, scrambled, and segmented digital information content.

After digital information compressor 314 has compressed the raw digital information using a selected compression method suitable for the category of digital information, the scrambler 318 scrambles the digital information. The digital information is scrambled to prevent an unauthorized consumer from using the digital information. In the preferred embodiment, scrambler 318 uses a conventional encryption method to render the data unusable. A corresponding descrambling map 322 is generated to provide a means for descrambling the scrambled digital information file. A scrambling map 316 is used by scrambler 318 to scramble the digital information file. The scrambler 318 can encrypt the entire digital information file or selected critical subsets of the digital information file. The level of scrambling can be selected depending upon the capabilities of the authoring system 280, the mobile playback device 212 and/or the anticipated software player 226 on client computer system 214. In an alternative embodiment, a proprietary digital information format is used in lieu of scrambler 318.

The scrambled digital information content is output by scrambler 318 to segmentation logic 326. Segmentation logic 326 partitions the digital information content into blocks for efficient storage in and transfer to a mobile playback device 212 or software player 226 and for efficient navigation during playback. Transport integrity data is generated and appended to the segmented digital information. In an alternate embodiment, portions of the segmentation process may take place before or after digital information compressor 314 and scrambler 318. Segmentation information may also be used in the header generation process by template header generator 312. The

compressed, scrambled, and segmented digital information blocks are provided to the library server 260 by authoring system 280. Library server 260 assembles the segmented digital information blocks, the descrambling map 322, the preview clip(s) 324, and the template header 312 for a particular item of digital information content into a digital information program file or files, which are stored in a digital information program file storage area 262. Other raw digital information content is converted into digital information files using the authoring system 280 in a similar manner.

#### 10 Library Server

Referring again to FIG. 2, the library server 260 is responsible for maintaining the digital information program files 262 created by the authoring system 280. In addition, the library server 260 receives requests for access to the digital information program files 262 from client computer systems 214 over network 240 and manages purchase and delivery of the selected digital information files and/or delivery of selected preview clips 324. The library server 260 includes library management software 261 for performing these library server functions and a library key 263 used for the authentication protocol described below. Library management software 261 includes processing logic for receiving and responding to client computer system 214 requests for access and/or purchase of a digital information program file 262. Upon receiving such a client request, library server 260 uses authorization server 270 to authenticate the request with client information 272 generated and maintained by library server 260 or authorization server 270. The client information 272 includes client identifiers which are used to target content for playback on individual mobile playback devices 212 or software players 226. Client information 272 may also contain client personal

information, user content preferences, client billing history, player usage history, and player group lists. In an alternative embodiment, portions of client information 272 may instead be stored in server 260. Using the authorization protocol described in more detail below, the library server 260  
5 determines if the client request can be serviced. If approved, the library server 260 accesses the digital information program file(s) or preview clip(s) requested by the client computer system 214, delivers the selected preview clip(s) or builds encrypted, targeted, and digitally signed digital information files using the authentication protocol described in more detail below, and  
10 transfers the encrypted and compressed digital information file(s) to the requesting client computer system 214 via network 240. Distributable mass storage media 241 may also be used as a delivery medium for the transfer of information to client system 214. The client computer system 214 may then independently download the selected digital information files (or a subset  
15 thereof) into the mobile playback device 212 for subsequent playback. The library server 260 also collects usage statistics on the access history of the digital information files 262 and stores this usage data into usage statistic storage area 264. The library server 260 also stores operating code segments (firmware) for the client browser 219, software player 226, and for mobile  
20 playback device 212. This operating code can be downloaded to the client computer system 214 in the same manner as digital information files are transferred. Player configuration data for playback device 212 and software player 226 is stored on the library server 260 and can be customized or updated in the same manner as digital information files and firmware are  
25 transferred. Configuration data includes, but is not limited to, audio prompts, user interface options, group ID information, and information playback parameters. Player configuration data is transferred to client

computer system 214, software player 226, or mobile playback device 212 as required according to client information 272.

The library server 260 interfaces with a client application program or client browser 219 executing on client computer system 214. The client  
5 browser 219 is used to make requests of library server 260 for various types of service including, but not limited to, searching the digital information files 262 for a desired program, previewing a selected preview clip associated with a digital information file 262, purchasing a selected program, requesting  
operating code segments or player configuration data, and downloading the  
10 purchased program or other material to the requesting client computer system 214.

The library server 260 interface with the authorization server 270 and client computer system 214 uses the unique authentication protocol and encryption protocol of the preferred embodiment of the present invention.  
15 The preferred embodiment of these protocols is described in the sections below.

#### Client Computer System

Referring again to FIG. 2, the client computer system 214 represents a  
20 consumer or end user computer system, typically a personal computer, such as the sample system illustrated in FIG. 1, with which a consumer may browse, preview, select, purchase, and take delivery of digital information content from digital information library server 260 across distribution network 240. Client computer system 214 comprises client browser software  
25 219, a mobile device interface 221, storage for encrypted and compressed digital information files 220 downloaded from the network 240, software player 226, and segment download data 222 derived from digital information

files 220 for defining the storage segments in mobile playback device 212 and for assisting in the downloading of digital information files 220 from client computer system 214 to mobile playback device 212. Client computer system 214 also includes a server public key 215 used for authenticating digital  
5 information and software files received from server 260. Client browser software 219 provides the control logic with which the client or consumer accesses and purchases titles from the digital information library 262 of library server 260. Client browser software 219 also provides control logic which requests and downloads configuration information or operating code  
10 from server 260. The client browser software 219 can be configured to perform these operations without direct human intervention. The mobile device interface 221 is a software interface used to control the transfer of control information, operating code, and digital information files from client computer system 214 to mobile playback device 212. Encrypted and  
15 compressed digital information files 220 are received by client computer system 214 from library server 260 over network 240. In an alternate embodiment, distributable mass storage media 241 is used instead of network 240 to transfer information to client computer system 214. The software player 226 is a software module used to emulate the operation of mobile  
20 playback device 212 and for playing digital information files through the sound circuitry 130 and audio output device 132 of client computer system 214. Operating code and configuration information for the software player 226 can be downloaded or updated from the server 260 in the same manner as the mobile playback device 212 can be downloaded or updated. The  
25 software player 226 functionality is the equivalent of the functionality and operation of the mobile playback device 212. Thus, the use of the term "player" throughout this document generally applies to both the mobile

playback device 212 and software player 226. Software players 226 are assigned unique player IDs and can be assigned group IDs that function similarly to IDs assigned to mobile playback devices 212.

## 5    Mobile Playback Device

The mobile playback device 212 converts a digital information file into sound or displayable imagery which is played through audio output means or displayed on a display device. In the preferred embodiment, the mobile playback device 212 is a minimal capability, low-cost device primarily  
10    dedicated to playing audio files or displaying visual images or text on a display device. The mobile playback device 212 is minimally configured to retain its light-weight, low cost, and readily mobile features. The preferred embodiment does not therefore include the use of a portable personal computer or laptop computer as the mobile playback device 212; because,  
15    such general purpose computing devices typically do not meet the light-weight and low cost constraints of the preferred mobile playback device 212. Such general purpose computing devices typically have unnecessary functionality, more complicated interfaces, and may suffer cost and performance penalties in comparison to the special purpose mobile playback  
20    device 212. In the preferred embodiment, the mobile playback device 212 includes a processor, memory, and an interface to client computer system 214 over which compressed digital information files 216 are received. As described in more detail below, mobile playback device 212 also includes a player ID 223, group IDs 225, and server public key 215 used for  
25    authenticating digital information and software files received from server 260 via client computer system 214. The user controls the mobile playback device 212 using buttons and knobs provided on the device. These controls

are used to navigate through digital information files 216, adjust configuration data and playback parameters, or perform other functions as directed by firmware stored in playback device 212. When coupled to the player, client computer system 214 or other electronic devices can solicit user  
5 input from these controls. In an alternative embodiment, a set of additional user controls is provided on a remote control unit that is coupled to the player via a wired or wireless connection. Digital information output may be provided via a headphone jack, on board speaker, or wireless transmitter to a separate wireless receiver with speakers or headphones. Audio level can be  
10 adjusted with a volume knob. A wireless transmitter may contain an adjustment knob to adjust the transmission frequency or other transmission parameters. Visual information output is provided via LCD display, LED display, or outputs to a standard visual display device. The mobile playback device 212 contains a limited quantity of non-volatile memory, RAM, and  
15 ROM. Digital information content, configuration data, and operating code are stored in the memory space of the mobile playback device 212. Configuration data includes but is not limited to: public and private IDs, content playback parameters, and user interface parameters. The use of non-volatile memory allows portions of the digital information content,  
20 configuration data, and firmware to be updated via download. Both digital information content and firmware (operating software) is stored in this memory device. Portions of the firmware and configuration information are stored permanently in a read only memory (ROM). An internal memory allocation method is used to track the content of mobile playback device 212  
25 memory. This allocation method, in conjunction with segment navigation data 218, also provides the means for locating desired digital information, program, configuration data, or header data resident in the mobile playback

device 212 memory. The mobile playback device 212 includes an interface to the client computer system 214 through which the mobile playback device 212 receives compressed digital information files 216, software updates, and configuration changes from client computer system 214.

5

Downloading Digital Information Content, Software Updates, or  
Configuration Information From the Library Server to the Client Computer  
System

The client browser software 219 of client computer system 214 operates  
10 in cooperation with library management software 261 of library server 260  
and the firmware resident on the mobile playback device 212 to provide a  
means by which a consumer may browse, preview, select, purchase, and take  
delivery of selected digital information content from digital information  
library server 260 across distribution network 240. The digital information  
15 content is typically downloaded to the client computer system 214 at the time  
of purchase, but it is possible to download digital information content either,  
1) sometime after the purchase, or 2) multiple times after an initial purchase.  
The client browser 219 can be configured to download content to client  
computer system 214 without user intervention. In addition, portions of the  
20 client computer system 214 software itself or mobile playback device 212  
resident software/firmware may be downloaded or updated from library  
server 260. The mobile playback device 212 resident software/firmware is  
downloaded through client computer system 214. If library server 260 has an  
updated or more recent copy of client computer system 214 software or  
25 mobile playback device 212 software/firmware, the library server copy is  
downloaded to replace the outdated version of the corresponding client  
computer system 214 software or mobile playback device software 212. The



software is encrypted, scrambled, and digitally signed in a manner similar to the scrambling and delivery of the digital information files. Changes to the ID list, audio prompts, and other configuration data for playback device 212 can be downloaded in a manner similar to the downloading of software updates from library server 260.

The preferred embodiment utilizes three authentication processes to protect the transfer of information from server 260 to client system 214 and playback device 212. First, a point-to-point authentication protocol is performed whereby the library server 260 must verify that the requesting client computer system 214 is an authorized client and the client computer system 214 must verify that the library server 260 is an authorized provider. Secondly, a targeting protocol is performed whereby the library server 260 utilizes a set of identifiers (i.e. player IDs) for mobile playback devices 212 authorized to receive the selected download data from library server 260. The mobile playback device identifiers are provided by client computer system 214 or are referenced from user profiles stored on library server 260. In the targeting process, library server 260 formats and downloads data that can only be read or played by mobile devices 212 with these identifiers. Thirdly, a library server digital signature is appended to the downloaded data for use by the mobile playback device 212 to verify that the downloaded data was originated by an authorized library server and to verify the integrity of the downloaded data. These three authentication processes of the present invention are described in detail in the following sections.

#### Point-to-Point Authentication Protocol

The library server 260, client computer system 214, and mobile playback devices 212 each have a unique verification sequence which is used

to verify the authenticity of another system. In communications between library server 260 and client system 214, both systems alternately act to (1) request verification of the other system and (2) provide an authenticating response to a verification request. Communication between mobile devices 5 212 and client computer system 214 use a similar authentication protocol, as well as real-time communication between mobile devices 212 and library server 260 via client system 214. This verification sequence comprises a pre-defined set of bit streams or data structures which are sent by the requesting system (i.e. the system requesting verification) to the receiving system being 10 authenticated (i.e. the respondent) in a point-to-point transmission. The receiving system must respond to the verification sequence in a pre-defined manner by sending particular response bit streams or data structures to the requesting system. If the appropriate response data from the respondent is received by the requesting system, the system being verified is considered an 15 authorized system. Conversely, the system being verified is considered unauthorized if the appropriate response data is not received by the requesting system prior to a pre-defined time-out period. Both systems begin communication by acting as requesters and respondents in separate verification cycles. Upon completion of these point-to-point authentication 20 cycles, further client/server processing only continues if both systems deem each other to be authorized systems.

In an alternate embodiment, point-to-point authentication is used in a subset of the communications among library server 260, client computer system 214, and mobile playback devices 212. In another embodiment, point- 25 to-point authentication is not used and system security rests on the use of targeting and/or digital signature authentication.

### Targeting Protocol

The targeting protocol of the present invention is a means and method for limiting the playback of digital information content, the adjustment of player configuration data, and the download of player  
5 operating code to a specified player 212/226 or a specified set of mobile playback devices 212. Each player 212/226 contains a unique player ID 223. The player ID 223 comprises a public player ID and a private player ID. The public player ID is a unique identifier and serves as a serial number for player identification. The private player ID is used to target data for individual  
10 mobile playback devices 212. Private player IDs are never sent through any communications link or network path, except during installation. In the preferred embodiment, private player IDs should be sufficiently diverse, but need not be unique.

Mobile playback devices 212 may be logically grouped together using a  
15 Group ID. Digital information content, software, or configuration data changes may be targeted to a group of mobile playback devices 212 defined by a group ID. Each player 212/226 includes memory space for storage of one or more group IDs 225 of which the particular player 212/226 is a member. Each group ID includes a public portion and a private portion, each of which is  
20 equivalent to the public and private player IDs, respectively. Each group is identified by a uniquely valued public ID that is not shared with other player or group IDs. Digital information content, software, or configuration data can be targeted to a particular group ID in the same way as it would be targeted for a specific player ID. Mobile playback devices 212 in the same  
25 group share the same Group ID. A particular Group ID is pre-defined as the global group to which all mobile playback devices 212 are a member. Mobile playback devices 212 may be members of more than one group. A particular

player 212/226 is added to a new group by appending the new group ID to the set of group IDs 225 maintained in the particular player 212/226. The new group ID is appended after the server 260 provides a public group ID and a group key to the player 212/226 via client computer system 214. The player  
5 212/226 generates a private group ID from the combination of the group key and the mobile playback device's 212 private player ID. As with the private player ID, the private group ID is never sent through any communications link or network path, except during installation. In an alternative embodiment, players receive the group private ID directly or by combining  
10 the group key with the players public ID or other known numeric value. In another alternative embodiment, the private group ID is not used in the targeting process and is not transferred to the player. The group assignment process may be restricted to using real-time communications between server 260 and the player via client system 214, or it may take place sometime after  
15 group assignments have been downloaded to client system 214. Having described the player IDs and group IDs defined in the present invention, the use of these IDs in the targeting protocol is described next.

Library server 260 includes a player ID table 266 as shown in FIG. 2. Player ID table 266 includes a storage area for private IDs and public IDs. The  
20 private IDs are pre-loaded into player table 266 when a new mobile playback device is installed into the system or when a new group is established. In another embodiment, ID table 266 is a mathematical function which converts group or player public IDs. Public player and group IDs are sent by a client computer system 214 to the server 260 when the client computer  
25 system 214 desires to target a particular player 212/226 or set of mobile playback devices 212 to a particular specified digital information, software content, or configuration data selection. Digital information selection is

made from the files 262 stored on library server 260. Software or configuration data selection is made from files stored on server 260 or from data generated upon request by server 260. Software content and configuration data is prepared and scrambled in a manner similar to the

5   authoring process for digital information content. Once an association is made by client computer system 214 between a set of targeted public IDs and the associated data to be transferred from server 260, library server 260 creates a targeted header for the selected files. The library management software 261 consults the public ID to private ID table 266 to locate the corresponding

10   targeted private ID(s). The targeted header comprises a combination of the descrambling map 322 from the selected files with the private player IDs corresponding to the targeted mobile playback devices 212. The descrambling map 322 is thereby encrypted using the secret IDs of the targeted mobile playback device(s) 212. This targeted header is linked with the corresponding

15   digital information or software content of the selected file in a network transport ready data block. A digital signature is applied to the data block as described below in connection with the data signature protocol. Transport integrity data (such as the use of checksums or cyclic redundancy check) is applied to the data block and the data block is sent to the client computer

20   system 214 via network 240. Because the data block can only be unscrambled using the corresponding descrambling block 322 in its header and because the descrambling block 322 was combined (i.e. encrypted) with a private ID known only by the targeted mobile playback device(s) 212, only the targeted mobile playback device(s) 212 will be able to unscramble and read the data

25   block. The selected digital information, software content, and configuration data is thereby targeted to a particular set of mobile playback devices 212.

For small groups of mobile playback devices 212, each targeted header of a digital information file may contain a plurality of descrambling maps, each associated with a different player 212/226. In this manner, multiple mobile playback devices 212 can read a single file 220 stored on the client  
5 computer system 214.

A person of ordinary skill in the art will note that alternative methods of targeting exist. In an alternative embodiment, library server 260 uses the targeted recipient's private player 212/226 identifier or the targeted group's private group identifier to generate scrambling map 316. Descrambling map  
10 322 is not stored with the file as it is already known by the recipient player or group. This method targets content to a single player 212/226 or group and achieves the identical result of preventing unauthorized playback of content.

In another alternative embodiment, library server 260 does not scramble the digital information content or uses a known key to scramble the digital information content. In this embodiment, descrambling map 322 is  
15 unnecessary and is not stored with the file. Either the public or private player 212/226 identifier can be stored in the header for targeting identification purposes. Upon receipt of data from library server 260, the player 212/226 checks if its player 212/226 identifier or group identifier is  
20 included in the header. This method assumes unmodified mobile playback devices 212 and achieves the identical result of preventing unauthorized playback of content.

In another alternative embodiment, the player IDs for the targeted mobile playback devices 212 are sent to the library server 260 by the client  
25 computer system 214 when the user registers with the library server 260 to obtain the user's client ID. In this alternative embodiment, these player IDs are stored on the library server 260 in a user profile. In this embodiment, the

library server 260 manages the player IDs for the targeted mobile playback devices 212.

#### Digital Signature Protocol

5           The third authentication protocol used in the present invention is the digital signature protocol. For selected data blocks generated by library server 260 and downloaded to a client computer system 214, library server 260 uses its private library key 263 to apply a digital signature to the data block. The digital signature comprises a known bit string or data pattern which is  
10 combined with the data in data blocks that are downloaded from library server 260 to client computer system 214. The library server 260 may perform this operation on all the data blocks or a selected subset of the data blocks. After a data block is downloaded to a player 212/226 through a client computer system 214, the player 212/226 can retrieve the digital signature  
15 applied by the library server 260 using a public server key known to the player 212/226. The player 212/226 can thereby verify that the data block originated with an authorized library server 260, and also verify the integrity of the data block. The public server key is also known to client computer system 214, which can perform the identical operation to verify that the data  
20 block originated with an authorized library server 260. In this embodiment, library server 260 performs signatures on the content. A person of ordinary skill in the art would realize that the signatures may also be performed on the digital information by authoring system 280. The signatures may also be performed in a multiple step process shared by authoring system 280 and  
25 library server 260.

          In an alternate embodiment, digital signatures are applied to downloaded material by a trusted client computer system 214. In another

alternate embodiment, digital signatures are not applied to downloaded material and system security rests on the use of targeting and/or point-to-point authentication.

5    Downloading Digital Information Content, Software Updates, or  
    Configuration Information From the Client Computer System to the Mobile  
    Playback Device

        In a first step, the client computer system 214 and the mobile device use the point-to-point authentication protocol described above to verify that  
10    an authorized mobile playback device 212 is communicating with an authorized client computer system 214. If this is the case, the mobile playback device 212 transmits its memory map to the client computer system 214 via the mobile device interface 221. A table of contents defining the available digital information files 220 and player configuration profiles resident in  
15    client computer system 214 is displayed along with the mobile playback device 212 memory map for a user of client computer system 214. The user selects which files 220 of client computer system 214 should replace portions or segments of specified mobile playback device 212 memory as defined by the mobile playback device 212 memory map. Alternately, client browser 219  
20    can be configured to automatically perform this selection process. In either case, the user is prevented from selecting digital information content larger than the available memory of playback device 212. In addition, control software and/or configuration data for playback device 212 may be automatically updated by client computer 214. The specified digital  
25    information files 220, associated headers, operating code, or configuration data are thereafter downloaded into mobile playback device 212 memory. The mobile playback device 212 uses checksums to verify the integrity of the



download. The mobile playback device 212 uses the server public key 215, the header, and the digital signature to authenticate the download as described above. The header descrambling map is used by targeted mobile playback devices 212 to unscramble the downloaded data. In other embodiments, 5 mobile playback device 212 may unscramble the downloaded data and/or decompress the downloaded data before authenticating the signature. Each segment of the digital information content may be independently authenticated and validated using any of the techniques described above. Digital information prompts on the mobile playback device 212 guide the 10 user to the desired portion of the downloaded digital information content as specified by the table of contents residing in the header of the downloaded data. The user may preview selected portions of the digital information content by selecting a preview option. The preview option plays a predetermined portion of a selected digital information program. Upon 15 selection of a particular digital information program, the selected digital information program is played for the user after the mobile playback device 212 converts the digital information content into sound or displayable imagery which is played through an audio output means or displayed on a display device.

20       The software player 226 of client computer system 214 may also receive digital information content in approximately the same form as the digital information content downloaded to the mobile playback device 212; however, the digital information content for the software player 226 does not need to be downloaded to the software player 226. The software player 226 25 has direct access to the digital information content; because, it shares memory and/or disk storage space with the client computer system 214. Therefore, there are no downloading or memory map concerns. In the same

manner as the mobile playback device 212, the software player 226 performs digital signature verification, verification of checksums, and receiving targeted information. In an alternative embodiment, software player 226 may use a communication protocol similar to that of mobile playback device 212  
5 when receiving digital information content, configuration information, and dynamically downloaded software.

FIG. 4 illustrates an alternative embodiment of the present invention. As shown in FIG. 4, authoring system 280 can support a plurality of library servers 260. Each library server can be configured to support a specific type of  
10 digital information content. In the same manner described above, the client computer systems 214 access network 240 and obtain digital information content from any of the library servers 260 after performing the authentication process described above. Authorization server 270 is provided for this purpose. The configuration illustrated in FIG. 4 provides a more  
15 distributed architecture thereby dispersing the load across several server platforms. A site with many client computer systems 214 may have its own library server 260 to reduce demand on network 240. This architecture scales well as the number of client computer systems 214 grows and the content provided by the library server 260 grows.

20 FIG. 5 illustrates another embodiment of the present invention except the library server 461 has been implemented as a plurality of separate processes or tasks 460 running concurrently on a single library server platform 461. Each library server process 460 services requests for access to its corresponding portion of the digital information content. This content is  
25 created using authoring system 280 in the manner described above. The authorization server 270 is used to validate the links between the client computer systems 214 and the library server processes 460. The configuration

illustrated in FIG. 5 is advantageous in that the convenience of a single server is maintained while the scalability of multiple libraries is also supported.

This concept can also be used for the authoring and authorization servers 280 and 270, respectively. As shown in FIG. 6, the authoring system 280 and the authorization server 270 is implemented on a single platform 685 as authoring process 680 and authorization process 670. These processes perform the same functions as described above, except the implementation provides the convenience of a single server and the scalability of multiple processes for the authoring and authorization tasks.

FIG. 7 illustrates yet another alternative embodiment wherein the client computer systems 214 include a local library 710. The local library 710 provides a local storage area and library access control functionality which provides access to a subset of the archived digital information from library server 260. In the manner described above, the user of a client computer system 214 identifies the titles or items of digital information in library server 260 that the user wishes to access. In the preferred embodiment, these content selections are transferred to a client storage area 220 (as shown in FIG. 2) for subsequent downloading to mobile playback device 212. The embodiment shown in FIG. 7 expands upon the client storage area 220 and creates a local library 710. The local library 710 is used for storage of selected content; but also for searching, sorting, categorizing, and abstracting the locally stored content. The local library 710 allows a client computer system 214 to maintain a small subset of the full library which may be used to create custom collections of content in a variety of user selected configurations. Client systems 214 may be permitted to access the contents of local libraries 710 on other client systems 214. In a related alternate embodiment, library

server processes 460 may also reside on selected client systems 214. This embodiment allows client systems 214 to browse and purchase content that is scrambled, targeted, and delivered from library server process 460 executing on a locally positioned client system 214. By maintaining the library locally, a  
5 portion of the network access and transfer overhead is eliminated.

FIG. 8 illustrates another alternative embodiment of the present invention wherein the client computer system 214 is eliminated and the mobile playback device 212 is connected directly to the network 240 through network interface 810. In the preferred embodiment, the mobile playback  
10 device 212 is a minimal capability device primarily dedicated to playing audio files or displaying visual images or text on a display device. The mobile playback device 212 is minimally configured to retain its light-weight, low cost, and readily mobile features. The preferred embodiment does not therefore include the use of a portable personal computer or laptop  
15 computer; because, such devices typically do not meet the light-weight and low cost constraints of the preferred mobile playback device 212. However, the minimal mobile playback device 212 may be augmented to add network interface 810 which comprises a conventional hardware connector, hardware buffers and controllers, and firmware support for a particular conventional  
20 network protocol. For example, the mobile playback device 212 may be augmented with an integrated modem that includes a telephone jack with which the playback device may be connected to a telephone network. It will be apparent to those of ordinary skill in the art that network interface 810 may be implemented in a low cost and light-weight device such as mobile  
25 playback device 212. Because the client system browser 219 would not be available in the alternative embodiment shown in FIG. 8, a simplified user interface may be provided in firmware or other non-volatile memory of

mobile playback device 212 with which the user may select items of digital information for download and playback from library server 260. As described above, the authentication process to validate the link between the mobile playback device 212 and the library server 260 must also be performed prior to user access to the library server 260 content. Alternatively, a client system 814 coupled to network 240 may be provided to support client browser 219 and thereby enable selection of items of digital information for download and playback from library server 260 directly to any of the mobile playback devices 212. Client systems 814 may support local storage of digital information, software, and configuration data in a form similar to storage space 220 or local library 710. In addition, a more simplified implementation of network interface 810 may be designed to communicate via network 240 to client system 814 instead of library server 260.

In another alternative embodiment of the present invention, digital information programming selections are made using the client computer system 214 and library server 260 as described above; however, the selections are delivered on mass storage medium 241. Mass storage medium 241 represents any of a variety of conventional mass storage technologies including CD-ROM, PCMCIA cards, DVDs, floppy disks, removable hard drives, digital magnetic tape, optical cards, flash memory or other optical, magnetic, electronic, or semiconductor memory devices. Upon selection by a user of a client computer system 214, selected programming is targeted and scrambled as described above and transferred to a selected mass storage medium 241 and mailed, hand-delivered, or held for pickup by the user. Once the user takes physical possession of the selected mass storage media 241, the selected programming may be read from the mass storage medium 241 by the client browser 219 and thereafter transferred to the mobile playback

device 212 as described above. FIG. 9 illustrates another embodiment of the system that does not include the use of client computer 214 to transfer data to mobile playback device 212. Kiosk 910 consists of a computer system such as the one described above in FIG. 1. Kiosk 910 is a publicly accessible unit that  
5 can perform browse, content purchase, and download functions in a manner equivalent to a client computer system 214. The kiosk 910 is special because it contains its own library server for fast local access and download of content. Kiosk 910 contains a mobile device interface 221, a special version of client browser 219, and local library server process 460. Kiosk library server process  
10 460 has local storage of scrambled and compressed digital information files 262. These compressed information files 262 originate from remote authoring system 280 and may be delivered via physical transport of mass storage media 241 or via distribution network 240. A customer operates client browser 219 to browse, select, and purchase digital information files  
15 that are delivered to the customer's mobile playback device 212. Authentication, targeting, and download processes are performed within the kiosk by library server process 460 that is connected to remote authorization server 270 over network 240. In a related embodiment, FIG. 7 shows a client system 214 with local library 710 that can be converted into a kiosk with  
20 functionality similar to kiosk 910. In this system, a special version of client browser 219 provides the same user functionality as the previous kiosk embodiment.

An alternate embodiment of the system uses a common communication network to connect all system components. In FIG. 10,  
25 network 240 is directly coupled to client system 214 and 814, network interface(s) 810, library server(s) 260, authorization server 270, and authoring system(s) 280. One of ordinary skill in the art will realize that network 240

can also be segmented into a number of independent networks or communication links without changing the functionality of the system.

As described above, mobile playback devices 212 are intended to play only authorized digital information content. Each mobile playback device 212 is embedded with a unique player ID and may optionally include one or more group ID values. A candidate digital information file is embedded with one or more player IDs and group IDs. The embedded software of the mobile playback device 212 inspects the list of player IDs and group IDs embedded in the candidate digital information file, and if at least one of the player IDs or group IDs matches the mobile playback device 212 player ID or group IDs, the mobile playback device 212 will proceed to play the digital information file. If no match is found, the mobile playback device 212 will not play the digital information file.

The assignment of a player ID to a mobile playback device 212 is preferably performed at the time of manufacture of the mobile playback device 212. Assignment of a group ID to a mobile playback device 212 can happen at different times for different reasons. Typically, a user who is accessing digital information files from the digital information library is assigned a single group ID associated with the user's account, and that group ID is embedded in the user's mobile playback device. Group IDs can be embedded in groups of playback devices, corresponding to the devices maintained by a company, or those of a single account holder, or in players owned by members of a special interest group or club.

In practice, a digital information file is embedded with a user's account specific group ID when the user purchases access to the digital information file, and that specific version of the digital information file is made available to the user.

In order to insure that a specific digital information file with embedded player IDs and group IDs cannot be altered to subvert the intent of the targeting, a security scheme using the digital signature standard (DSS) is preferably implemented as shown in FIG. 11. At 1101, the header of a digital information file to be targeted is embedded with the appropriate player IDs and Group IDs. For each n seconds of program data, a secure hash using a secure hash algorithm (SHA) is computed at 1103. At 1105, a digital signature message is created that includes relevant data associated with the digital information file being targeted. Such information may include, but is not limited to, the following information items:

- Program header version number
- Hash algorithm version number
- Program serial number
- Hash block size
- Player ID count
- Player ID list
- Group ID count
- Group ID list
- Hash table count
- Hash values

It will be recognized that entries may be added to or removed from the above list of information items without loss of compatibility with the present invention. At 1107, the message is provided for digital signature authentication (DSA), and the resulting digital signature is embedded into the digital information file at 1109.

A preferred player security scheme using DSA is shown in FIG. 12. At 1201, the program file header, header signature, message and a portion of the program data is transferred to the player. After receiving the information, at 1203 the player performs DSA to authenticate the signature as having been created by the sender, typically the library server. If successful, at 1205 the



player then compares the player ID and group ID of the player with the list embedded in the message. If at least one player or group ID matches, at 1207 the player computes a secure hash for each n seconds the portion of the program data transferred to the player from the library server. If each  
5 computed hash appears in the message, at 1209 the player plays the program data. It will be recognized that other player security schemes other than DSA may be used without loss of compatibility with the present invention. For example, a private key may be used in conjunction with an encryption algorithm to insure that program data originates from an authorized source, and is  
10 valid.

Thus, a method and apparatus for implementing a computer network based digital information library system employing authentication and encryption protocols for the secure transfer of digital information library programs, software, and configuration data to a client computer system and a  
15 mobile digital information playback device removably connectable to the client computer system is disclosed. Although the present invention has been described with respect to specific examples and subsystems, it will be apparent to those of ordinary skill in the art that the invention is not limited to these specific examples or subsystems but extends to other embodiments as  
20 well. The present invention includes all of these other embodiments as specified in the claims that follow.

## CLAIMS

What is claimed is:

- 1    1.    A method for targeting a digital information playback device  
2    comprising the steps of:  
3        embedding a first device identifier in the playback device;  
4        embedding a second device identifier in a digital information file;  
5        providing the digital information file to the playback device;  
6        comparing the first device identifier to the second device identifier;  
7    and  
8        playing the digital information file if the first device identifier  
9    matches the second device identifier.
- 1    2.    The method of claim 1 wherein the step of embedding the first device  
2    identifier comprises the step of embedding a unique identifier in the  
3    playback device.
- 1    3.    The method of claim 1 wherein the step of embedding the second  
2    device identifier comprises the step of embedding the second device  
3    identifier in a header block of the digital information file.
- 1    4.    The method of claim 3 further comprising the step of executing a  
2    digital signature algorithm to authenticate the header block.
- 1    5.    The method of claim 1 further comprising the steps of:

2           computing a first encoding value for a section of the digital  
3 information file;  
4           embedding the first encoding value in the digital information file;  
5           computing a second encoding value when the digital information file  
6 is provided to the playback device;  
7           playing the digital information file if the first encoding value matches  
8 the second encoding value.

1   6.     The method of claim 5 wherein the step of embedding the first  
2 encoding value comprises the step of embedding a secure hash value in the  
3 section.

1   7.     The method of claim 1 further comprising the steps of:  
2           recording a first group identifier in the playback device;  
3           embedding a second group identifier in the digital information file;  
4           comparing the first group identifier to the second group identifier; and  
5           if the first group identifier matches the second group identifier,  
6 playing the digital information file.

1   8.     The method of claim 7 wherein the step of recording the first group  
2 identifier comprises the step of electronically receiving the group identifier  
3 from a remote electronic source.

1   9.     The method of claim 7 wherein the step of embedding the second  
2 group identifier comprises the step of embedding the second group identifier  
3 in the header block of the digital information file.

1   **10.**    The method of claim 9 further comprising the step of executing a  
2   digital signature algorithm to authenticate the header block.

1   **11.**    The method of claim 1 further comprising the step of executing a  
2   digital signature algorithm to authenticate the digital information file.

1   **12.**    The method of claim 1 further comprising the step of executing a  
2   digital signature algorithm to authenticate a section of the digital  
3   information file.

1    13.       An article of manufacture for use in a computer system for  
2    targeting a digital information playback device, the computer having a  
3    keyboard, pointing device, visual display, and data storage device, the article  
4    of manufacture comprising a computer usable medium having computer  
5    readable program code means embodied in the medium, the program code  
6    means including:

7           computer readable program code means embodied in the computer  
8    usable medium for causing a computer to embed a first device identifier in  
9    the playback device;

10          computer readable program code means embodied in the computer  
11   usable medium for causing a computer to embed a second device identifier  
12   in a digital information file;

13          computer readable program code means embodied in the computer  
14   usable medium for causing a computer to provide the digital information  
15   file to the playback device;

16          computer readable program code means embodied in the computer  
17   usable medium for causing a computer to compare the first device identifier  
18   to the second device identifier; and

19          computer readable program code means embodied in the computer  
20   usable medium for causing a computer to play the digital information file if  
21   the first device identifier matches the second device identifier.

1    14.       The article of manufacture of claim 13 wherein the computer readable  
2    program code means for causing a computer to embed the first device  
3    identifier comprises computer readable program code means embodied in  
4    the computer usable medium for causing a computer to embed a unique  
5    identifier in the playback device.

1 15. The article of manufacture of claim 13 wherein the computer readable  
2 program code means for causing a computer to embed the second device  
3 identifier comprises computer readable program code means embodied in  
4 the computer usable medium for causing a computer to embed the second  
5 device identifier in a header block of the digital information file.

1 16. The article of manufacture of claim 15 further comprising computer  
2 readable program code means embodied in the computer usable medium for  
3 causing a computer to execute a digital signature algorithm to authenticate  
4 the header block.

1 17. The article of manufacture of claim 13 further comprising:  
2 computer readable program code means embodied in the computer  
3 usable medium for causing a computer to compute a first encoding value for  
4 a section of the digital information file;  
5 computer readable program code means embodied in the computer  
6 usable medium for causing a computer to embed the first encoding value in  
7 the digital information file;  
8 computer readable program code means embodied in the computer  
9 usable medium for causing a computer to compute a second encoding value  
10 when the digital information file is provided to the playback device;  
11 computer readable program code means embodied in the computer  
12 usable medium for causing a computer to play the digital information file if  
13 the first encoding value matches the second encoding value.

1 18. The article of manufacture of claim 17 wherein the computer readable  
2 program code means for causing a computer to embed the first encoding

3 value comprises computer readable program code means embodied in the  
4 computer usable medium for causing a computer to embed a secure hash  
5 value in the section.

1 **19.** The article of manufacture of claim 13 further comprising:  
2 computer readable program code means embodied in the computer  
3 usable medium for causing a computer to record a first group identifier in  
4 the playback device;  
5 computer readable program code means embodied in the computer  
6 usable medium for causing a computer to embed a second group identifier in  
7 the digital information file;  
8 computer readable program code means embodied in the computer  
9 usable medium for causing a computer to compare the first group identifier  
10 to the second group identifier; and  
11 computer readable program code means embodied in the computer  
12 usable medium for causing a computer to play the digital information file if  
13 the first group identifier matches the second group identifier.

1 **20.** The article of manufacture of claim 19 wherein the computer readable  
2 program code means for causing a computer to record the first group  
3 identifier comprises computer readable program code means embodied in  
4 the computer usable medium for causing a computer to electronically  
5 receive the group identifier from a remote electronic source.

1 **21.** The article of manufacture of claim 19 wherein the computer readable  
2 program code means for causing a computer to embed the second group

3 identifier comprises computer readable program code means embodied in  
4 the computer usable medium for causing a computer to embed the second  
5 group identifier in the header block of the digital information file.

1 22. The article of manufacture of claim 21 further comprising computer  
2 readable program code means embodied in the computer usable medium for  
3 causing a computer to execute a digital signature algorithm to authenticate  
4 the header block.

1 23. The article of manufacture of claim 13 further comprising computer  
2 readable program code means embodied in the computer usable medium for  
3 causing a computer to execute a digital signature algorithm to authenticate  
4 the digital information file.

1 24. The article of manufacture of claim 13 further comprising computer  
2 readable program code means embodied in the computer usable medium for  
3 causing a computer to execute a digital signature algorithm to authenticate  
4 the header block.



1    25.    A system for targeting a digital information playback device  
2    comprising:  
3           a digital computer having first embed means for embedding a first  
4    device identifier in the playback device;  
5           second embed means operated by the digital computer for embedding  
6    a second device identifier in a digital information file;  
7           means logically coupled to the digital computer for providing the  
8    digital information file to the playback device;  
9           comparison means operated by the digital computer for comparing the  
10   first device identifier to the second device identifier; and  
11          play means logically coupled to the digital computer for playing the  
12   digital information file if the first device identifier matches the second  
13   device identifier.

1    26.    The system of claim 25 wherein the first embed means further  
2    comprises means for embedding a unique identifier in the playback device.

1    27.    The system of claim 25 wherein the second embed means further  
2    comprises means for embedding the second device identifier in a header  
3    block of the digital information file.

1    28.    The system of claim 27 further comprising authentication means  
2    operated by the digital computer for executing a digital signature algorithm  
3    to authenticate the header block.

1    29.    The system of claim 25 further comprising:

2 means operated by the digital computer for computing a first encoding  
3 value for a section of the digital information file;

4 means operated by the digital computer for embedding the first  
5 encoding value in the digital information file;

6 means operated by the digital computer for computing a second  
7 encoding value when the digital information file is provided to the playback  
8 device;

9 means operated by the digital computer for playing the digital  
10 information file if the first encoding value matches the second encoding  
11 value.

1 30. The system of claim 29 wherein the first embed means further  
2 comprises means for embedding a secure hash value in the section.

1 31. The system of claim 25 further comprising:

2 means operated by the digital computer for recording a first group  
3 identifier in the playback device;

4 means operated by the digital computer for embedding a second group  
5 identifier in the digital information file;

6 means operated by the digital computer for comparing the first group  
7 identifier to the second group identifier; and

8 means operated by the digital computer for playing the digital  
9 information file if the first group identifier matches the second group  
10 identifier.

1 32. The system of claim 31 wherein the means for recording the first  
2 group identifier further comprises means for electronically receiving the  
3 group identifier from a remote electronic source.

1 33. The system of claim 31 wherein the means for embedding the second  
2 group identifier further comprises means for embedding the second group  
3 identifier in the header block of the digital information file.

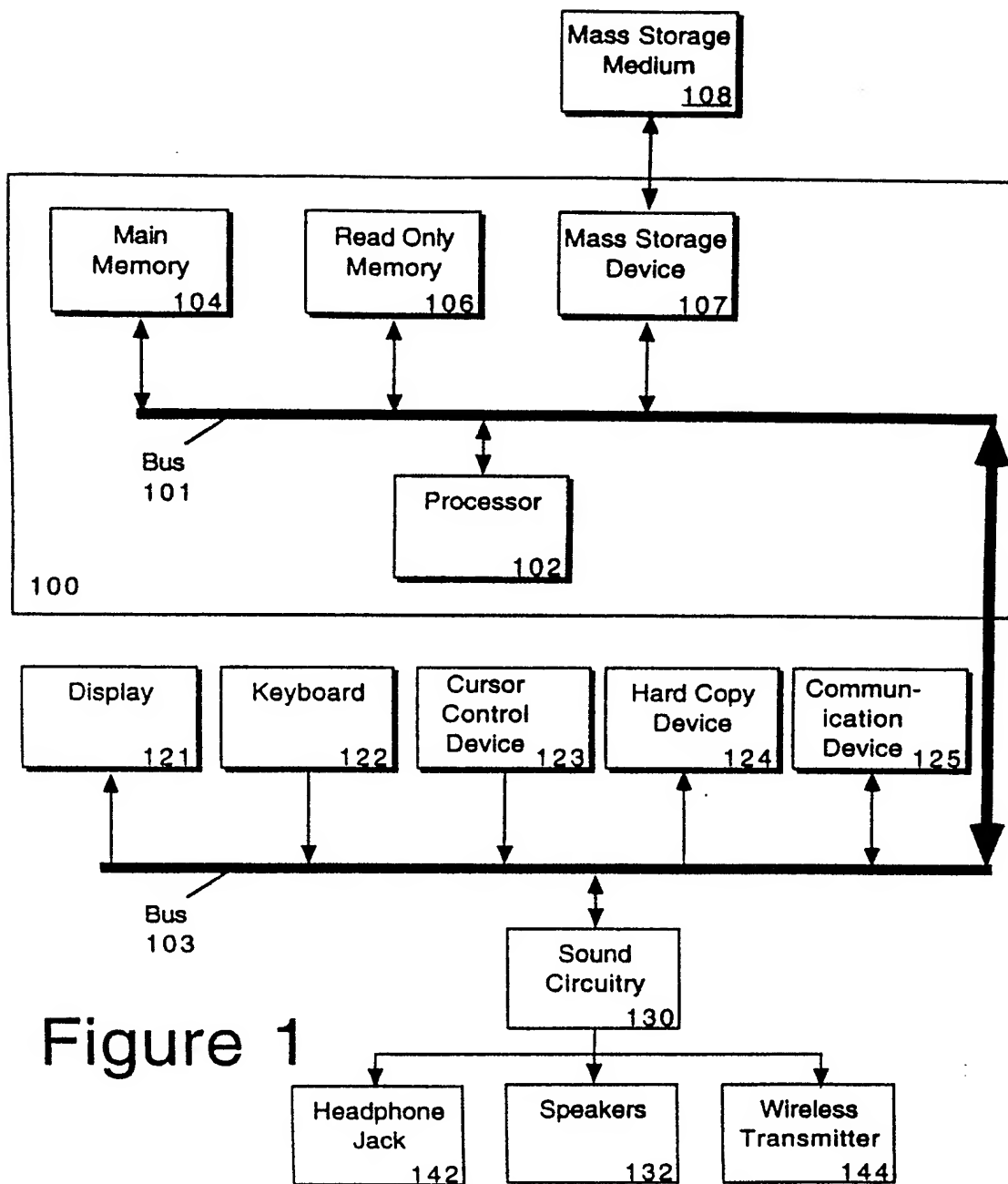
1 34. The system of claim 33 further comprising means operated by the  
2 digital computer for executing a digital signature algorithm to authenticate  
3 the header block.

1 35. The system of claim 25 further comprising means operated by the  
2 digital computer for executing a digital signature algorithm to authenticate  
3 the digital information file.

1 36. The system of claim 25 further comprising means operated by the  
2 digital computer for executing a digital signature algorithm to authenticate a  
3 section of the digital information file.

- 1 37. A system for targeting an audio playback device comprising:  
2 a digital computer having first embed means for embedding a device  
3 identifier in an audio file;  
4 second embed means operated by the digital computer for embedding  
5 a group identifier in the audio file; and  
6 means logically coupled to the digital computer for providing the  
7 audio file to the playback device.

1/12



2/12

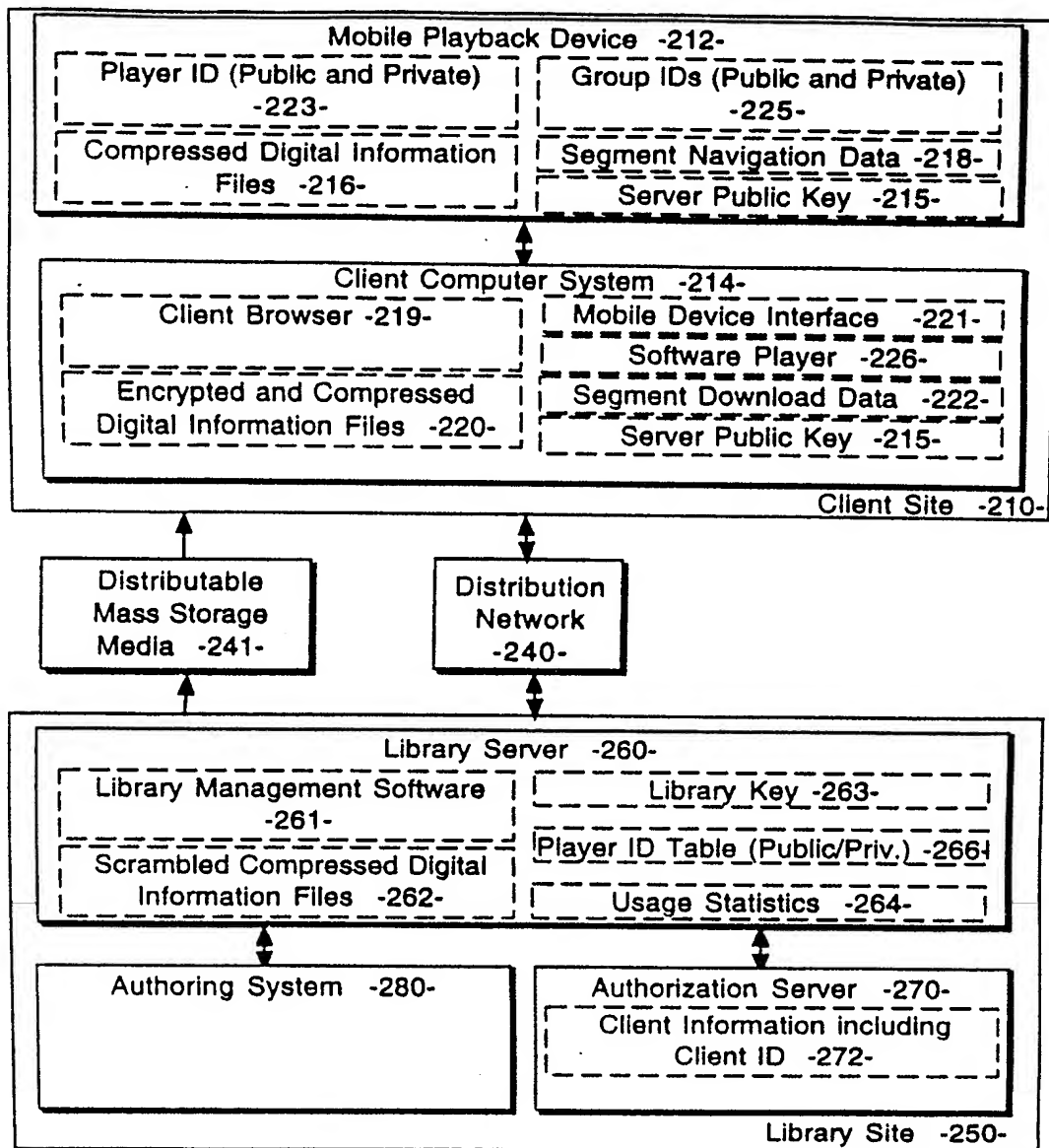


Figure 2

3/12

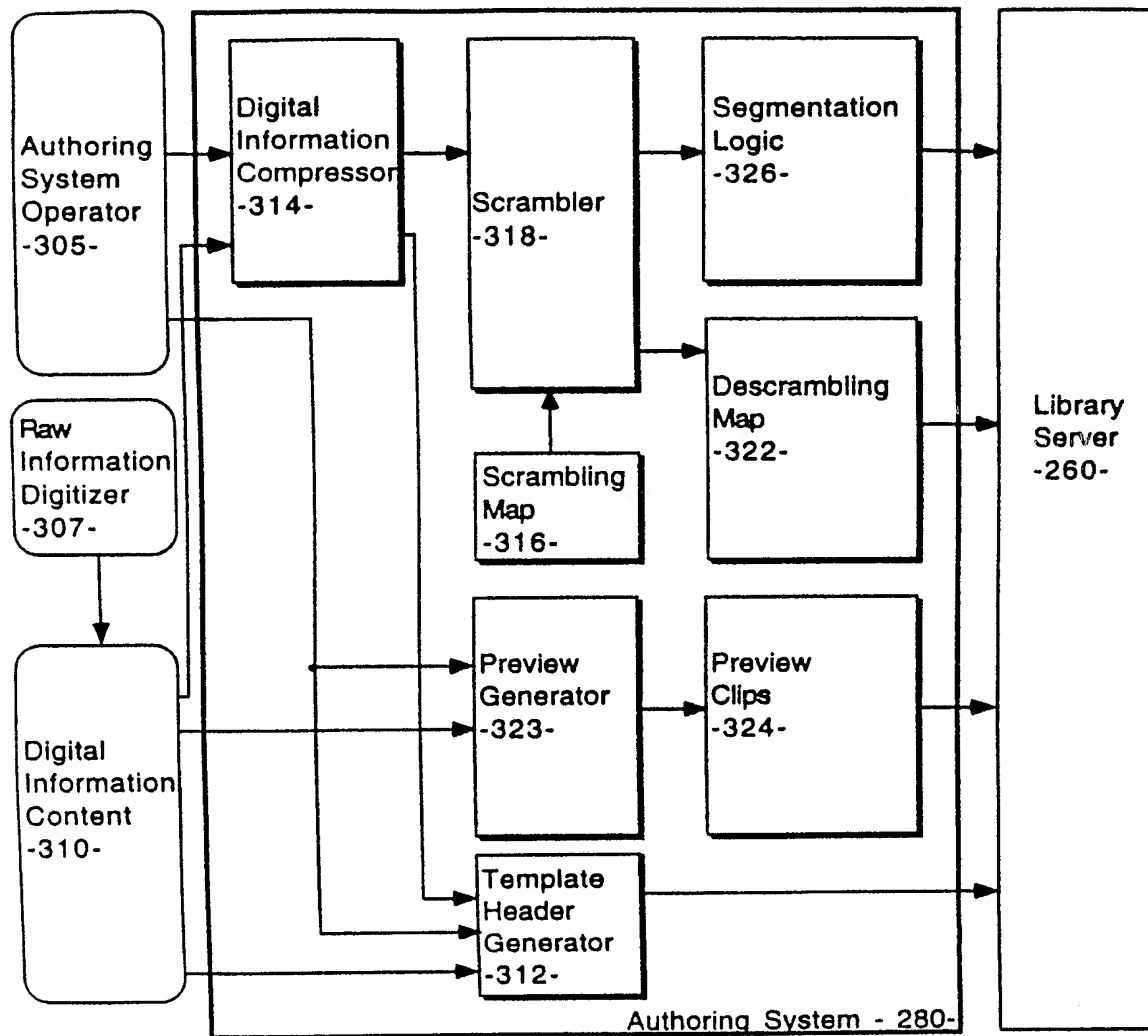


Figure 3

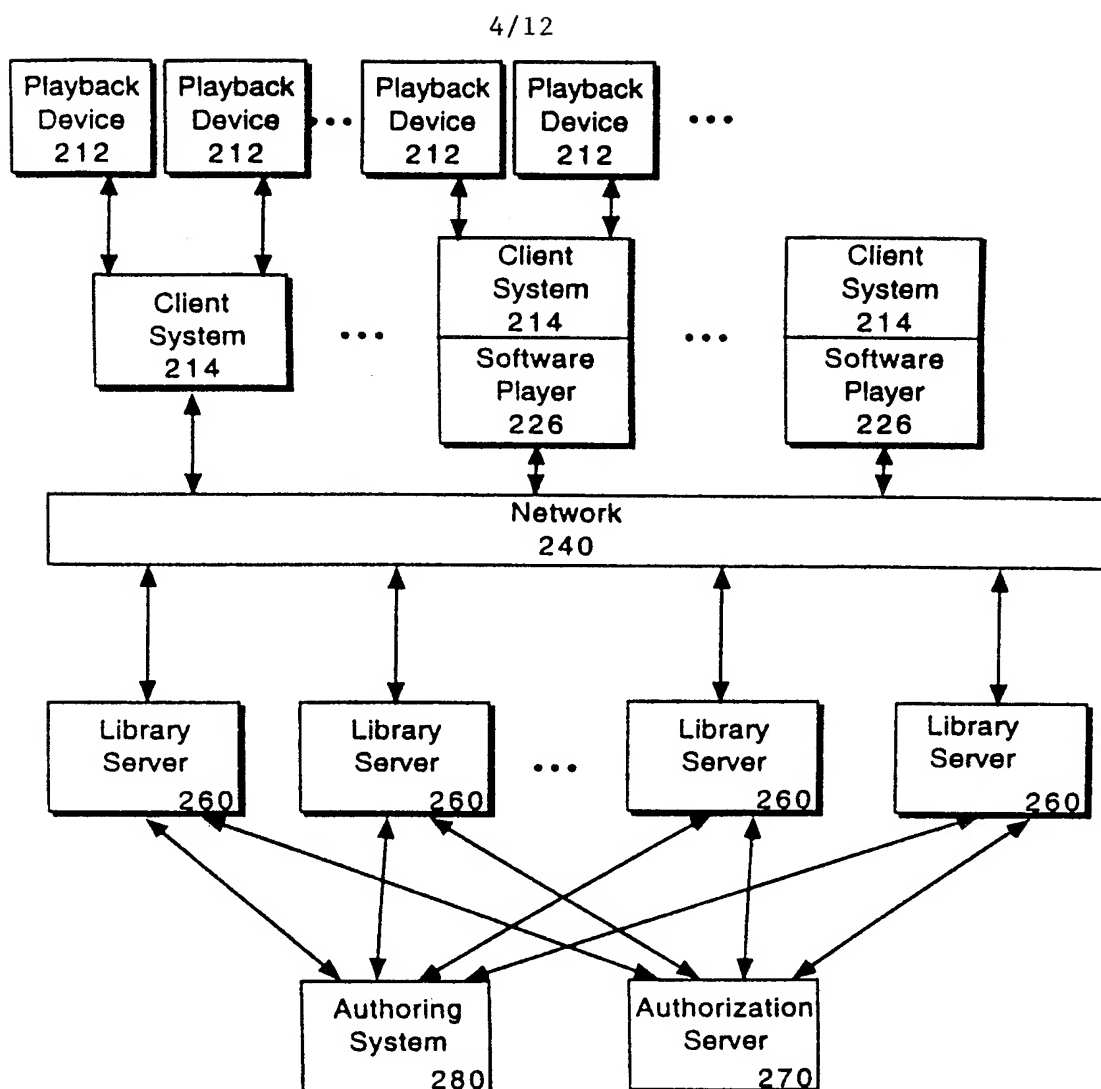
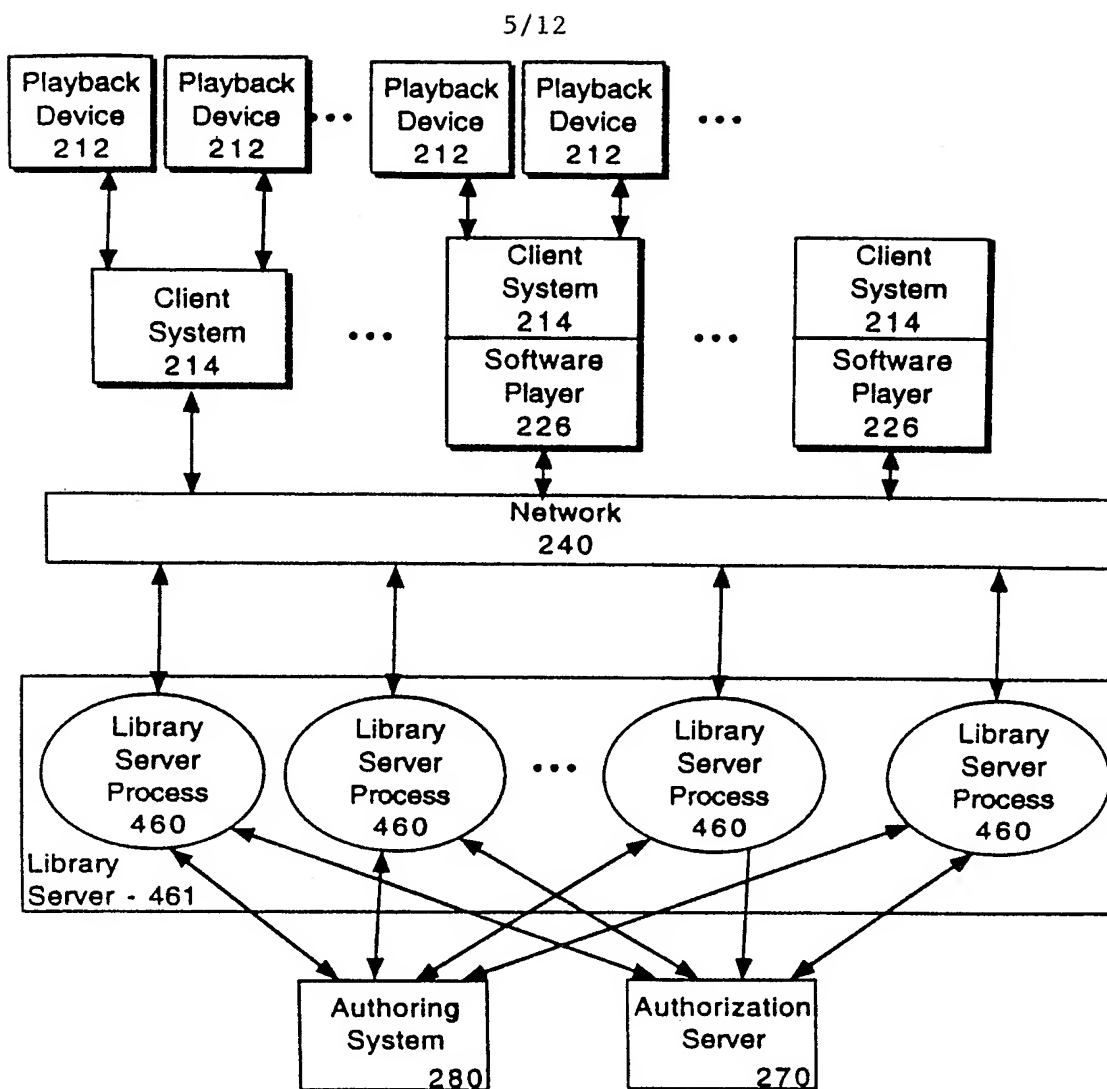


Figure 4



**Figure 5**

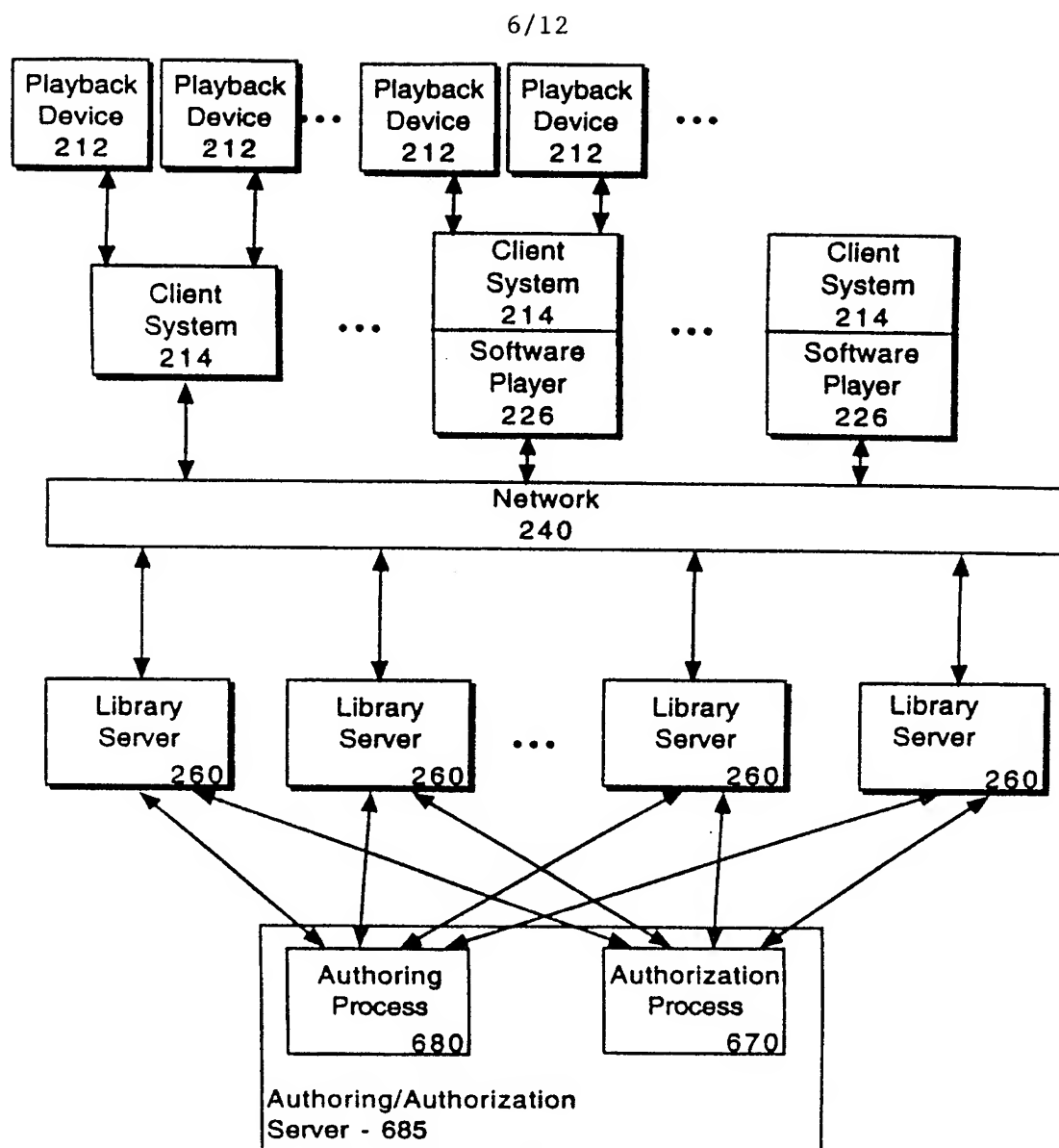


Figure 6

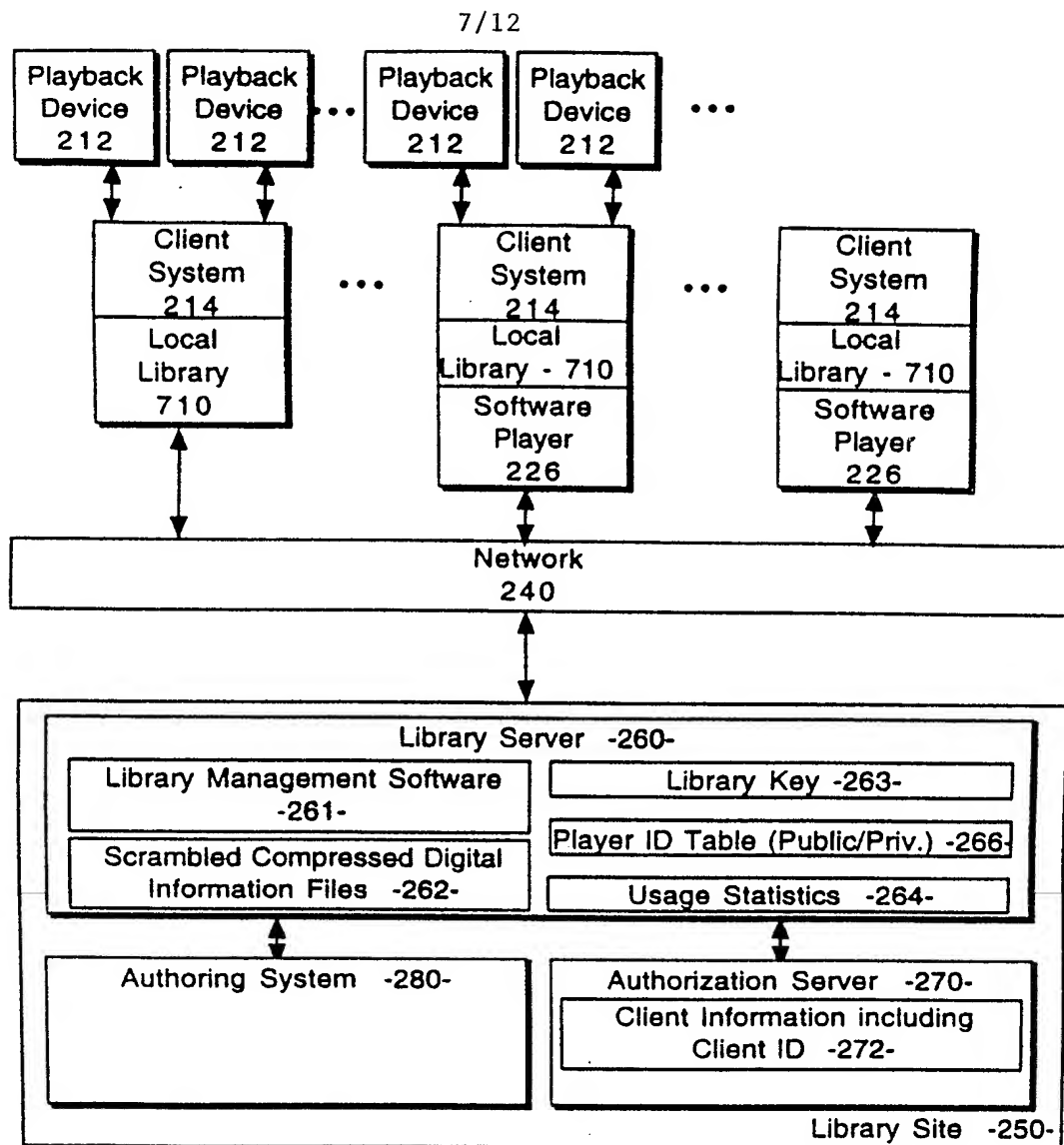


Figure 7

8/12

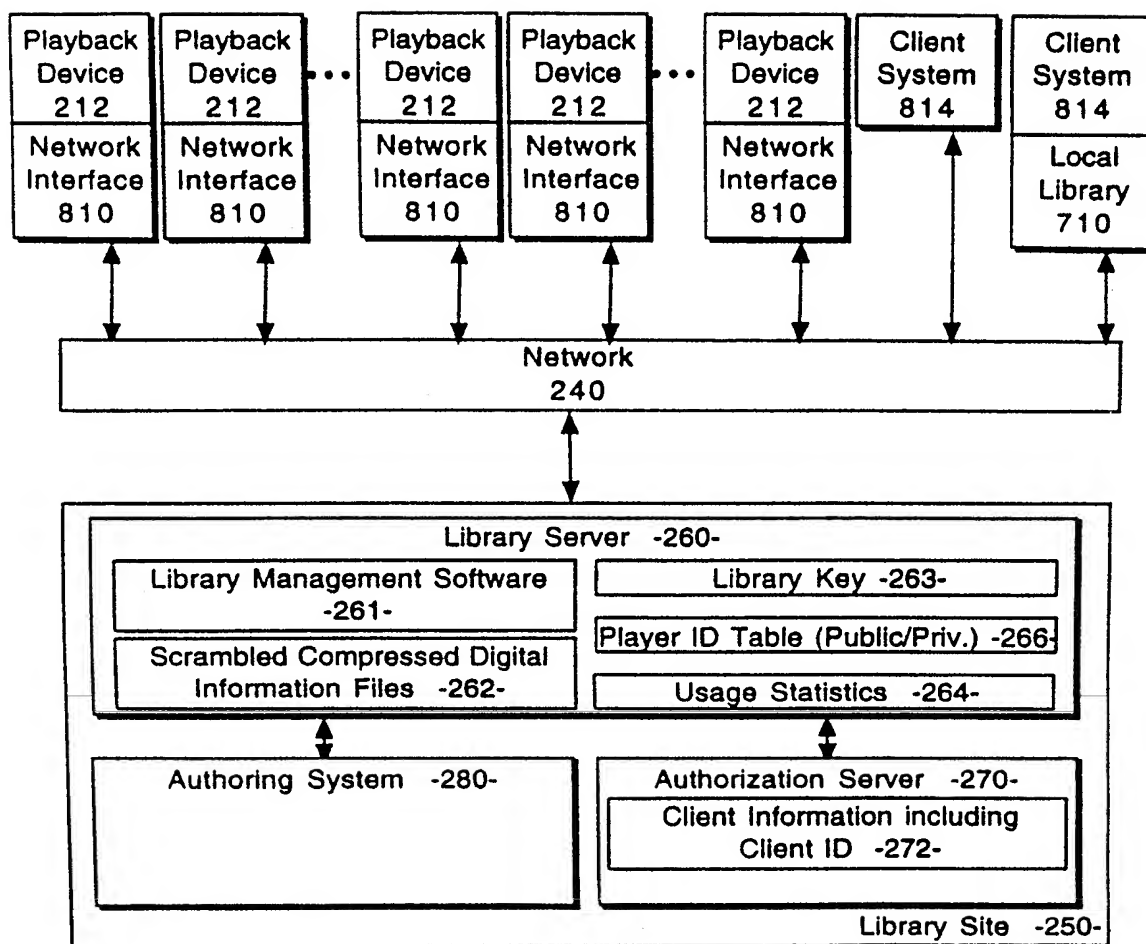


Figure 8

9/12

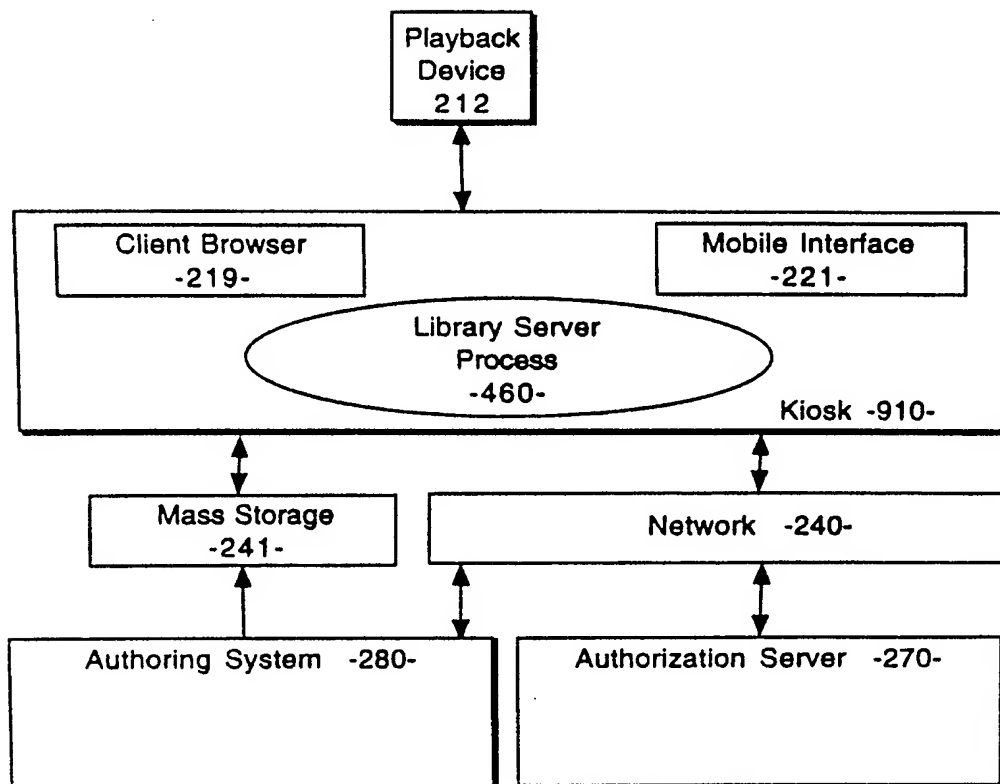


Figure 9

10/12

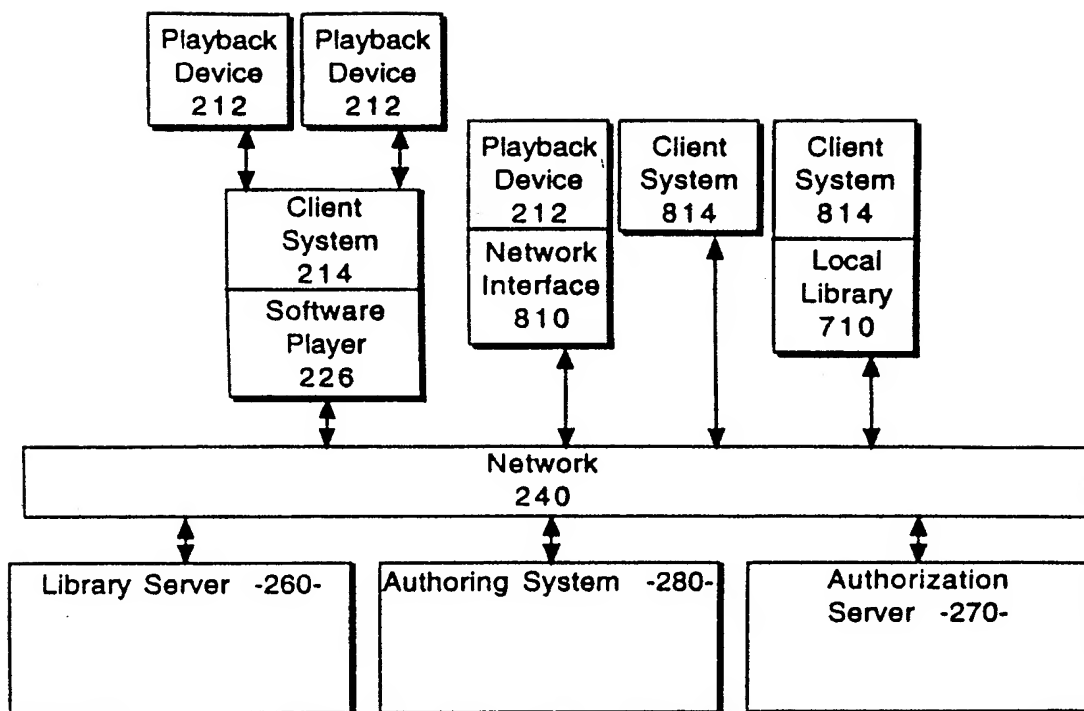


Figure 10

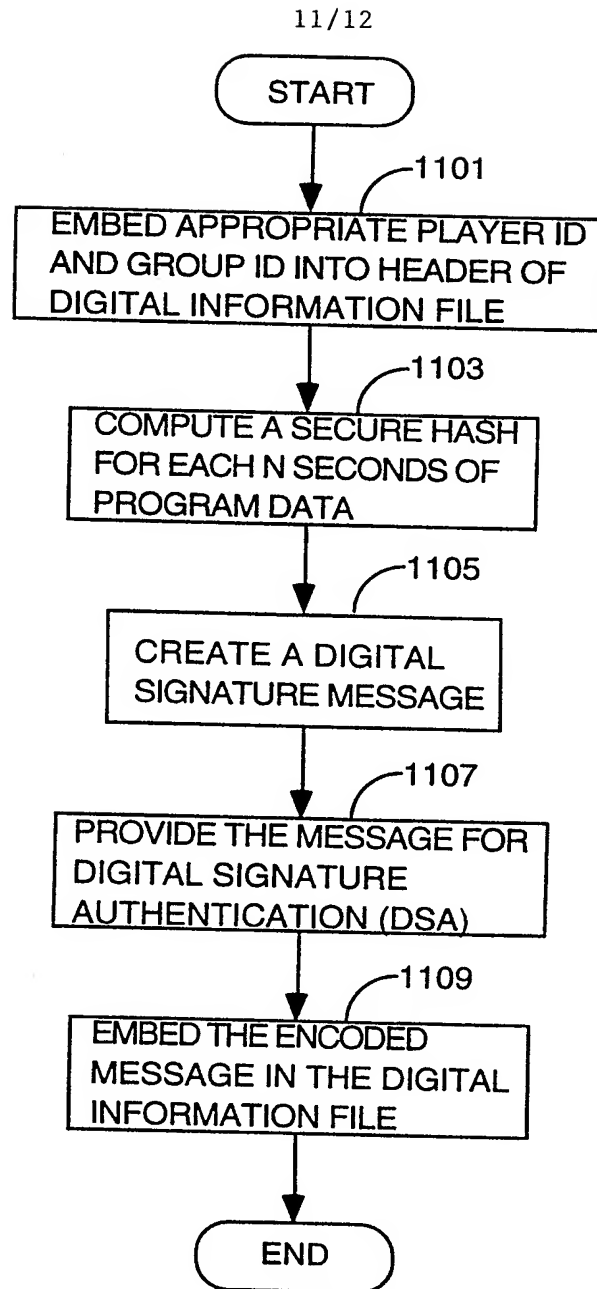


FIG. 11

12/12

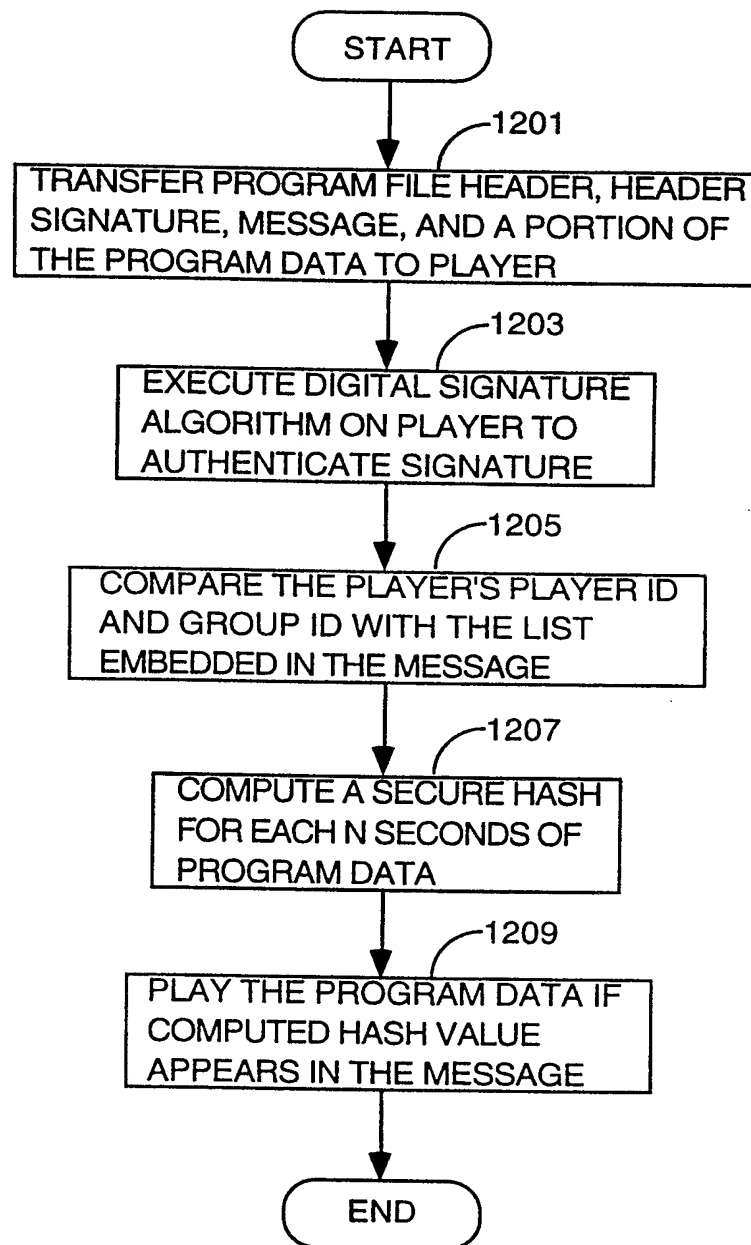


FIG. 12



# INTERNATIONAL SEARCH REPORT

international application No.

PCT/US98/20659

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :G06F 11/00

US CL :395/186

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 395/186, 188.01; 380/23, 25

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Microsoft Press Computer Dictionary 2nd Edition

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,483,658 A (GRUBE et al) 09 January 1996; Figure 1 and 2; Abstract; col. 3, lines 31-67; col. 4, lines 1-16 and 30-48; col. 5, lines 46-67; col. 6, lines 1-33.	1-2, 7-8, 13-14, 19-20, 25-26, 31-32 and 37
Y	US 5,483,658 A (GRUBE et al) 09 January 1996; Figures 1 and 2; Abstract; col. 3, lines 31-67; col. 4, lines 1-16 and 30-48; col. 5, lines 46-67; col. 6, lines 1-33.	3, 9, 15, 21, 27 and 33
Y	MICROSOFT PRESS, Computer Dictionary 2nd edition, 1994, pp. 194-195.	3, 9, 15, 21, 27 and 33
A	US 5,511,122 A (ATKINSON) 23 April 1996; see entire document.	1-37

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

29 DECEMBER 1998

Date of mailing of the international search report

24 FEB 1999

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

ROBERT BEAUSOLIEL

Telephone No. (703) 305-9713

*Joni Hill*

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/20659

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A,P	US 5,781,723 A (YEE et al.) 14 July 1998; see entire document.	1-37
A	US 5,555,098 A (PARULSKI) 10 September 1996; see entire document.	1-37
A	US 5,132,992 A (YURT et al) 21 July 1992; see entire document.	1-37